FULL PRIVILEGE LOG

BEP = bank examination/deliberative process privilege and protection of confidential supervisory information. The applicable regulator(s) have asserted those protections as to each document listed below

AC = attorney-client privilege

WP = work product protection

Shading indicates document families

Red names are Citi counsel; **bold names** are regulatory personnel.

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-1	August 13–14	Emails	Curtis Tao; Kathleen Aguiar	Kathleen Aguiar; Michael Sutera; Curtis Tao; Mary Reisert (cc); Gregory Frenzel (cc); Beth Rudofker (cc)	FDIC	AC, WP, BEP	Emails prepared by Citi counsel, and containing the product of interviews with staff by internal counsel, mental impressions and assessment of legal claims by internal and external counsel.	Document contains questions from regulator followed by answers from Citi, relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-2	August 14	Email		Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FDIC	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
	August 13			Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	FDIC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-4	August 14	Email Memo	Curtis Tao	Curtis Tao	FDIC	AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers! "inquiries to internal Citi	Dcoument responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."		
87-5	August 15	Email		Kathleen Aguiar; Michael Sutera (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FDIC	ВЕР		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-6	August 14	Memo		Citigroup Board of Directors; Citibank Board of Directors	FDIC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and			

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-7	August 18 & 23		Curtis Tao;	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)		ВЕР		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."		
			Eleanor Drew, Stuart Riley at the direction of Citi internal counsel		FDIC; FRBNY; OCC	ВЕР		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	
87-9	August 28	Email		Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier	FDIC; FRBNY;	ВЕР		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-10	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	ВЕР		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."		
87-11	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	
		Emails	Curtis Tao	Denise Schmedes; Ann Miner (cc); Audrey Schwarz (cc); Alyssa McLaughlin (cc); Mary Reisert (cc)		AC, BEP		Response to question from regulator concerning background relating to regulatory investigation. Marked "Confidential		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-13	August 14	Email		Denise Schmedes; Alyssa McLaughlin; Ann Miner; Audrey Schwartz; Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)		AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
				Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew		AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover		
87-15	August 14	Email Memo	Curtis Tao	Curtis Tao	FRBNY	AC, BEP		Dcoument responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-16	August 15	Email	Curtis Tao	Kathleen Aguiar; Michael Sutera (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FRBNY	ВЕР		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-17	August 14	Memo	Mary Reisert; Charlene Jones; Julio Gurdian; Adam Meshel; Rohan Weerasinghe	Citigroup Board of Directors; Citibank Board of Directors	FRBNY	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."		
87-18	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)		ВЕР		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
97 10	August 24		Eleanor Drew, Stuart Riley at the direction of Citi internal		FDIC; FRBNY; OCC	DED.		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory		
87-19	August 21	PowerPoint		Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier	FDIC; FRBNY;	BEP		Information." Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory	Yes	
	August 28 August 28 & September 1		Curtis Tao Alyssa McLaughlin	(cc) Curtis Tao; Ann Miner; Audrey Schwarz; Kianne Gumbs		BEP		Information." Regulator's list of questions relating to regulatory investigation. Marked "RESTRICTED FR."	Yes	References matters beyond the August 11 transfer, which are irrelevant and not discoverable.
87-22	September 4	Email		Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-23	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine		FDIC; FRBNY; OCC	AC, WP, BEP	internal and external counsel, mental impressions and assessment of legal claims by	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	
87-24	August 14	Email		Greg Sullivan; Lara Santini; Brendan Zeigon; Constanti Pasvankias; Tyler Dickson; Jason Rekate; Santo Trombetta; Mary Reisert	осс	AC, WP, BEP	prepared by Citi counsel, and containing the product of interviews with staff by internal counsel and mental impressions	Response to question from regulator concerning background relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-25	August 14	Email		Greg Sullivan; Lara Santini (cc); Constantine Pasvankias (cc); Paco Ybarra (cc); Gregory Frenzel (cc); Brad Hu (cc); Jason Rekate (cc); Tyler Dickson (cc); Karen Peetz (cc); Kevin Bailey (cc); Rohan Weerasinghe (cc)	occ	AC, BEP	1.5	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-26	August 13	Email Memo	Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	осс	AC, WP, BEP	states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."		
		Email Memo				AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers' "inquiries to internal Citi colleagues."	Dcoument responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."		
87-28	August 15	Email	Curtis Tao	Kathleen Aguiar; Michael Sutera (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	осс	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date	Notes
	2000(0)		,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	.0,00,200	negulator (o)		Theome, chem, trems reques		Range?	
				Citigroup Board of Directors;			Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory		
87-29	August 14	Memo	Weerasinghe	Citibank Board of Directors	осс	AC, WP, BEP	Confidential."	Information."		
87-30	August 18 & 23	Emails	Curtis Tao;	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)		ВЕР		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	
			Eleanor Drew, Stuart Riley at the direction of Citi internal		FDIC; FRBNY; OCC	ВЕР		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	

									Beyond Date	
Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Range?	Notes
				Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier	FDIC; FRBNY;			Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory	nange:	
87-32	August 28	Email	Curtis Tao	(cc)	occ	BEP		Information."	Yes	
87-33	August 28	Emails	John Leiby; Curtis Tao	Curtis Tao; John Leiby	осс	ВЕР		Regulator's questions and Citi's answers relating to regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-34	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	ВЕР		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	
87-35	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	specific questions from regulators and Citi's responses.	Yes	

FRBNY COMMUNICATIONS

BEP = bank examination/deliberative process privilege and protection of confidential supervisory information. The applicable regulator(s) have asserted those protections as to each document listed below AC = attorney-client privilege

WP = work product protection

Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Communications with the Federal Reserve Board/Federal Reserve Bank of New York

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
07.10	A	Estable.		Denise Schmedes; Ann Miner (cc); Audrey Schwarz (cc); Alyssa McLaughlin (cc); Mary Reisert	FDDANY	AC DED	Last-in-time email includes information prepared by Citi counsel, and containing the product of interviews with staff by	Response to question from regulator concerning background relating to regulatory investigation. Marked "Confidential Supervisory		
	August 13–14 August 14	Emails Email	Curtis Tao	Denise Schmedes; Alyssa McLaughlin; Ann Miner; Audrey Schwartz; Rohan Weerasinghe (cc); Adam Meshel (cc); Mary	FRBNY	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Information." Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-14	August 13	Email Memo	Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc); Mary Reisert (cc)	FRBNY	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."		

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-15	August 14	Email Memo	<u>Curtis Tao</u>	<u>Curtis Tao</u>	FRBNY	AC, BEP		Dcoument responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."		
87-16	August 15	Email		Kathleen Aguiar; Michael Sutera (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FRBNY	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."		
87-17	August 14			Citigroup Board of Directors;	FRBNY	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."		

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-18	August 18 & 23	Emails	Curtis Tao; Kevin Bailey		FDIC; FRBNY; OCC	ВЕР		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	
		PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel		FDIC; FRBNY;	ВЕР		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	
		Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick	FDIC; FRBNY;	ВЕР		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information." Regulator's list of questions relating to	Yes	
	August 28 & September 1	Emails	Alyssa McLaughlin	Curtis Tao; Ann Miner; Audrey Schwarz; Kianne Gumbs	FRBNY	ВЕР		regulatory investigation. Marked "RESTRICTED FR."	Yes	References matters beyond the August 11 transfer, which are irrelevant and not discoverable.

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-2:	September 4	Email			FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	
p7 3*	September 1	Memo			FDIC; FRBNY; OCC		Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	

FDIC COMMUNICATIONS

BEP = bank examination/deliberative process privilege and protection of confidential supervisory information. The applicable regulator(s) have asserted those protections as to each document listed below.

AC = attorney-client privilege

WP = work product protection

Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Communications with the FDIC

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-1	August 13–14	Emails	Curtis Tao; Kathleen Aguiar	Kathleen Aguiar; Michael Sutera; Curtis Tao; Mary Reisert (cc); Gregory Frenzel (cc); Beth Rudofker (cc)	FDIC	AC, WP, BEP	Emails prepared by Citi counsel, and containing the product of interviews with staff by internal counsel, mental impressions and assessment of legal claims by internal and external counsel.	Document contains questions from regulator followed by answers from Citi, relating to regulatory investigation. Marked "Confidential Supervisory Information."	
	August 14	Email		Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert	FDIC	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."	
	August 13		Mary Reisert	Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew (cc);		AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though Attorney-Client Privileged, we are sending this internal briefing email to you as Confidential Supervisory Information under 12 USC 1828(x)."	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover email is marked "Confidential Supervisory Information."	

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?
87-4	August 14	Email Memo	Curtis Tao	<u>Curtis Tao</u>	FDIC	AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers' "inquiries to internal Citi colleagues."	Dcoument responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."	
87-5	August 15	Email		Kathleen Aguiar; Michael Sutera (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	FDIC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."	
87-6	August 14	Memo	Stuart Riley; Mary Reisert; Charlene Jones; Adam Meshel; Rohan Weerasinghe	Citigroup Board of Directors; Citibank Board of Directors	FDIC	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel, and discussion of legal strategy. It states that it is "Privileged and Confidential."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-7	August 18 & 23	Emails	Curtis Tao; Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin (cc)	FDIC; FRBNY;	ВЕР		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes
	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	Citi Board of Directors	FDIC; FRBNY;	ВЕР		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes
87-9	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes
		Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY;	ВЕР		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email supervisory Information."	Yes

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
								Document provided	
								to update regulators	
								in response to	
								ongoing requests for	
								information relating	
							Briefing memo prepared by Citi	to regulatory	
							counsel, and containing the	investigation.	
			Stuart Riley;				product of interviews with staff by	Document discusses	
			Diana Miller;				internal and external counsel,	specific questions	
			Kevin Bailey;				mental impressions and	from regulators and	
			Mary Reisert;				assessment of legal claims by	Citi's responses.	
			Charlene Jones;				internal and external counsel, and	Cover email is	
			Curtis Tao;				discussion of ongoing legal	marked	
			Christina				investigation. The memo is	"Confidential	
			Helburn;	Citigroup Board of Directors;	FDIC; FRBNY;		stamped "PRIVILEGED AND	Supervisory	
87-11	September 1	Memo	Joshua Levine	Citibank Board of Directors	OCC	AC, WP, BEP	CONFIDENTIAL."	Information."	Yes

OCC COMMUNCATIONS

BEP = bank examination/deliberative process privilege and protection of confidential supervisory information. The applicable regulator(s) have asserted those protections as to each document listed below.

AC = attorney-client privilege

WP = work product protection

Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Communications with the OCC

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?
87-24	August 14	Email		Greg Sullivan; Lara Santini; Brendan Zeigon; Constanti Pasvankias; Tyler Dickson; Jason Rekate; Santo Trombetta; Mary Reisert	occ	AC, WP, BEP	Email includes information prepared by Citi counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessments of legal claims by internal and external counsel.	Response to question from regulator concerning background relating to regulatory investigation. Marked "Confidential Supervisory Information."	
	August 14	Email		Greg Sullivan; Lara Santini (cc); Constantine Pasvankias (cc); Paco Ybarra (cc); Gregory Frenzel (cc); Brad Hu (cc); Jason Rekate (cc); Tyler Dickson (cc); Karen Peetz (cc); Kevin Bailey	occ	AC, BEP	Cover email contains summary of internal briefings and activity by internal legal counsel. States that it is attorney-client privileged and provided subject to 12 USC 1828(x).	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."	
	August 13			Paco Ybarra; Gregory Frenzel; Tyler Dickson; Manuel Falco; Jason Rekate; Richard Zogheb; Thomas Cole; John McAuley; Colleen Gerard; Kayur Patel; Santo Trombetta; Brendan Zeigon; Vincent Farrell; Eleanor Drew; Carolyn Sheridan; Gary Rosen; Christine Maye; Piyush Agrawal; Christian Buder; Brian Goldman; Jee Kymm; Satya Vithala; Ed Zagorski; Rohan Weerasinghe (cc); Adam Meshel (cc); Mei Lin Kwan-Gett (cc); Anita Romero (cc); Curtis Tao (cc); James Gledhill (cc); Kepler Geertsema (cc); Julio Gurdian (cc); Charlene Jones (cc); Tihitina Lina Dagnew	осс	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal counsel and mental impressions and assessment of legal claims by internal and external counsel. It states that it is "Privileged & Confidential" and an "Attorney-Client Communication"; the cover email further explains that "Though	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Cover	

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?
87-27	August 14	Email Memo	Curtis Tao	Curtis Tao	осс	AC, BEP	Summary prepared by Citi counsel, containing the results of lawyers' "inquiries to internal Citi colleagues."	Dcoument responds to specific request for information in connection with regulatory investigation. Marked "Confidential Supervisory Information."	
	August 15	Email		Kathleen Aguiar; Michael Sutera (cc); Rohan Weerasinghe (cc); Adam Meshel (cc); Mary Reisert (cc)	осс	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Marked "Confidential Supervisory Information."	
87-29	August 14			Citigroup Board of Directors; Citibank Board of Directors	occ	AC, WP, BEP	Internal briefing memo prepared by Citi's internal counsel, and containing the product of interviews with staff by internal	Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?
				Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin	EDIG. FRRANC			Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory	
87-30	August 18 & 23	Emails	Curtis Tao; Kevin Bailey		FDIC; FRBNY; OCC	BEP		Information under 12 USC 1828(x)."	Yes
87-31	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel		FDIC; FRBNY; OCC	ВЕР		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes
				Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier	FDIC; FRBNY;			Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory	
	August 28 August 28	Email Emails	Curtis Tao John Leiby; Curtis Tao	(cc)	occ	BEP		Information." Regulator's questions and Citi's answers relating to regulatory investigation. Marked "Confidential Supervisory Information."	Yes

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Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); FDIC; FRBNY; Karen Peetz (cc) BEP Briefing memo prepared by Citicounsel, and containing the product of interviews with staff by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and assessment of legal claims by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and assessment of legal claims by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and assessment of legal claims by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and containing the product of interviews with staff by internal and external counsel, and containing the product	Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?
Document provided to update regulators in response to ongoing requests for information relating to regulatory counsel, and containing the product of interviews with staff by internal and external counsel, specific questions (Kevin Bailey; mental impressions and Kevin Bailey; mental impressions and from regulators and Mary Reisert; charlene Jones; Curtis discussion of ongoing legal marked	97 24	Sontombor 4	Email		Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc);		DED		regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory	Voc
Helburn; Citigroup Board of Directors; FDIC; FRBNY; stamped "PRIVILEGED AND Supervisory				Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn;	Citigroup Board of Directors;	FDIC; FRBNY;		Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory	Yes

OUTSIDE DATE RANGE

BEP = bank examination/deliberative process privilege and protection of confidential supervisory information. The applicable regulator(s) have asserted those protections as to each document listed below AC = attorney-client privilege

WP = work product protection

Shading indicates document families

Red names are Citi counsel; bold names are regulatory personnel.

Documents that fall outside the agreed date range

Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-7	August 18 & 23	Emails	Curtis Tao; Kevin Bailey		FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	
	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel		FDIC; FRBNY;	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	
	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick	FDIC; FRBNY;	ВЕР		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-10	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	
87-11	September 1	Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn; Joshua Levine	Citigroup Board of Directors; Citibank Board of Directors	FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	
87-18	August 18 & 23	Emails	Curtis Tao; Kevin Bailey		FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under 12 USC 1828(x)."	Yes	

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-19	August 21	PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel		FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes	
	August 28	Email		Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick	fDIC; FRBNY;	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
	August 28 &		Alyssa	Curtis Tao; Ann Miner; Audrey	FRBNY	BEP		Regulator's list of questions relating to regulatory investigation. Marked "RESTRICTED FR."		References matters beyond the August 11 transfer, which are irrelevant and not discoverable.
87-22	September 4	Email			FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney–Client/Work Product	Bank Examination	Beyond Date Range?	Notes
			Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Charlene Jones; Curtis Tao; Christina Helburn;	Citigroup Board of Directors;	fDIC; FRBNY;		Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory		
	August 19 8-22	Memo	Curtis Tao;	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Charles Sgro (cc); Adam Meshel (cc); Anita Romero (cc); Mei Lin Kwan-Gett (cc); Mary Reisert (cc); Karen Peetz (cc); Kevin Bailey (cc); Jenny Zou (cc); Shelley Dropkin	OCC FDIC; FRBNY;	AC, WP, BEP	CONFIDENTIAL."	Document responds to specific request for information in connection with regulatory investigation. First-in-time email is marked "Confidential Supervisory Information" and notes that privileged information "is being shared with you as Confidential Supervisory Information under \$2.15C, 1929(c)."	Yes	
	August 18 & 23 August 21	Emails PowerPoint	Eleanor Drew, Stuart Riley at the direction of Citi internal counsel	(cc) Citi Board of Directors	OCC FDIC; FRBNY; OCC	BEP		Document responds to specific request for information in connection with regulatory investigation. Cover email is marked "Confidential Supervisory Information."	Yes Yes	

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Dkt.	Date(s)	Format	From/Author	To, CC, BCC	Regulator(s)	Privilege	Attorney-Client/Work Product	Bank Examination	Beyond Date Range?	Notes
87-32	August 28	Email	Curtis Tao	Mary Reisert; Constanti Pasvankias; Kathleen Aguiar; Michael Russo; Kevin Bailey; Julio Gurdian; Charlene Jones; Annette Hennessy; Lara Santini; John Leiby; Audrey Schwarz; Alyssa Mclaughlin; Michael Sutera; Ann Miner; Denise Schmedes; Greg Sullivan (cc); Sharon Farrell (cc); Karl Kolarsick (cc); John Weidmaier (cc)	FDIC; FRBNY; OCC	BEP		Identifies specific questions from regulators in connection with regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
	August 28	Emails	John Leiby; Curtis Tao	Curtis Tao; John Leiby	осс	BEP		Regulator's questions and Citi's answers relating to regulatory investigation. Marked "Confidential Supervisory Information."	Yes	
87-34	September 4	Email	Kevin Bailey	Greg Sullivan; Lara Santini; Constantine Pasvankias; Annette Hennessy; John Leiby; Michael Russo; Denise Schmedes; Ann Miner; Alyssa Mclaughlin; Audrey Schwarz; Kathleen Aguiar; Michael Sutera; Rohan Weerasinghe (cc); Karen Peetz (cc)	FDIC; FRBNY; OCC	BEP		Document updates regulators in response to ongoing requests for information relating to regulatory investigation. Email subject line includes "Confidential Supervisory Information."	Yes	
		Memo	Stuart Riley; Diana Miller; Kevin Bailey; Mary Reisert; Chartiene Jones; Christina Helburn;		FDIC; FRBNY; OCC	AC, WP, BEP	Briefing memo prepared by Citi counsel, and containing the product of interviews with staff by internal and external counsel, mental impressions and assessment of legal claims by internal and external counsel, and discussion of ongoing legal investigation. The memo is stamped "PRIVILEGED AND CONFIDENTIAL."	Document provided to update regulators in response to ongoing requests for information relating to regulatory investigation. Document discusses specific questions from regulators and Citi's responses. Cover email is marked "Confidential Supervisory Information."	Yes	